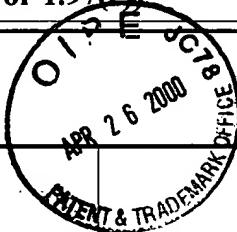


02/00

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**13414 (NECI1083)**

In Re Application Of: **A. Peter Blicher et al.**



Serial No.  
**09/538,209**

Filing Date  
**March 30, 2000**

Examiner  
**Unassigned**

Group Art Unit  
**Unassigned**

**Title: METHOD FOR MATCHING A TWO DIMENSIONAL IMAGE TO ONE OF A PLURALITY OF THREE DIMENSIONAL CANDIDATE MODELS CONTAINED IN DATABASE**

Address to:  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
 whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

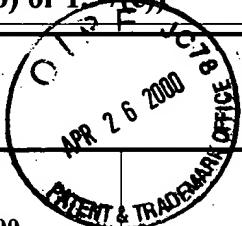
**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
13414 (NECI1083)

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 4/20/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Spina

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\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: April 20, 2000

Thomas Spinelli  
Registration No. 39,533

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CC:

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. Peter Blicher, et al. Examiner: Unassigned

Serial No.: 09/538,209

Art Unit: Unassigned

Filed: March 30, 2000

Docket: 13414 (NECI1083)

For: METHOD FOR MATCHING A TWO  
 DIMENSIONAL IMAGE TO ONE OF A  
 PLURALITY OF THREE DIMENSIONAL  
 CANDIDATE MODELS CONTAINED IN A  
 DATABASE

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Dated: April 20, 2000

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. P.N. Belhumeur et al., "What Is the Set of Images of an Object under All Possible Illumination Conditions?", International Journal of Computer Vision, 1998, pp. 1-16;
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3. P.N. Belhumeur et al., "Comparing Images Under Variable Illumination", To appear in the Proceedings of CVPR98;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 20, 2000.

Dated: April 20, 2000

Michelle Spina

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Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no petition, certification or fee is required.

Respectfully submitted,



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TS:cm